

Ferrante Capital LLC

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March 4, 2026

Form ADV Part 2B – Brochure Supplement

For

Andrew J. Lazzeroni

Co-Founder, CEO, CCO and CIO

This Brochure supplement provides information about Andrew J. Lazzeroni that supplements the Ferrante Capital LLC brochure. A copy of that brochure precedes this supplement. Please contact Andrew J. Lazzeroni if the Ferrante Capital brochure is not included with this supplement or if you have any questions about the contents of this supplement, please contact us at 757-202-2186 or cco@ferrantecapitaladvisers.com

Additional information about Andrew J. Lazzeroni is available on the SEC's website at www.adviserinfo.sec.gov

Item 2: Educational Background and Business Experience

Andrew J. Lazzeroni

Year of Birth: 2004

Education: Mr. Lazzeroni has no formal education beyond high school.

Business Experience (last 5 – 10 years):

- Ferrante Holdings Inc. — Co-Founder, CEO, CIO, CCO (March 2025 – Present)
- Ferrante Capital LLC — Co-Founder, CEO, CIO, CCO (March 2025 – Present)
- Falco Systems LLC — Lead Quant/Developer (September 2025 – Present)
- Independent Investor/Trader (2022–Mar 2025)

Professional Examinations:

- Uniform Investment Adviser Law Examination (Series 65) — Nov 2025

Item 3: Disciplinary Information

Mr. Lazzeroni has no legal or disciplinary events to disclose. He has never been the subject of a criminal proceeding, civil judicial action, administrative proceeding, or a self-regulatory organization (SRO) disciplinary action, and he has never been found liable or involved in any arbitration claim.

If any material disciplinary event occurs in the future, this Brochure Supplement will be updated promptly.

Item 4: Other Business Activities

Andrew J. Lazzeroni also serves in affiliated roles within the Ferrante group of companies. Approximate time allocations (which may vary):

- Ferrante Capital LLC (advisory duties): ~65% of working time.
- Ferrante Holdings Inc. — Co-Founder & Chief Executive Officer: ~10% of working time.
- Falco Systems LLC — Lead Quant/Developer: ~25% of working time.

Andrew J. Lazzeroni receives W-2 salary from Ferrante Holdings Inc. and may receive bonuses & dividends. He does not receive product-based sales compensation or payments from third-party sponsors for advisory recommendations. Clients are not required to purchase any Falco product or use any specific software, and advisory services are not conditioned on purchasing affiliated products. Clients are free to select unaffiliated alternatives (or none).

Item 5: Additional Compensation

Andrew J. Lazzeroni does **not** receive any economic benefit (cash or non-cash) from product sponsors, custodians, or vendors in connection with providing advisory services, other than generally available custodial platform tools described in the firm's Brochure (Part 2A).

Item 6: Supervision

Andrew J. Lazzeroni serves as the Chief Executive Officer, Chief Investment Officer, and Chief Compliance Officer of Ferrante Capital LLC. In his capacity as the Firm's sole investment adviser representative, Mr. Lazzeroni is supervised through Ferrante Capital LLC's compliance program and written policies and procedures.

Although Mr. Lazzeroni does not have a direct managerial supervisor, his advisory activities are overseen through the Firm's compliance framework, which includes:

- periodic reviews of client account activity and documentation;
- reviews of recommendations for consistency with each client's objectives and risk profile;
- monitoring of communications, including email correspondence; and
- ongoing compliance testing under the Firm's Code of Ethics and supervisory procedures.

Mr. Lazzeroni is also accountable to the Board of Directors (Class A) of Ferrante Holdings Inc., the parent company of Ferrante Capital LLC. The Board provides governance oversight and may review compliance matters, business practices, and adherence to Firm policies.

Board of Directors (Class A) – Ferrante Holdings Inc.

- Andrew J. Lazzeroni – CRD #8186804
- Michael A. Licari – CRD #8101738
- Rocco J. Testa – CRD #8186815

Questions regarding supervision may be directed to:

Andrew J. Lazzeroni, Chief Compliance Officer

Phone: 757-202-2186

Email: cco@ferrantecapitaladvisers.com

Item 7: State-Registered Advisers

Andrew J. Lazzeroni has not been the subject of an arbitration award or civil/SRO/administrative finding involving violations of investment-related statutes or regulations.

Andrew has not been the subject of a bankruptcy petition.